# MANIFOLDS OF SMOOTH MAPS III: THE PRINCIPAL BUNDLE OF EMBEDDINGS OF A NON-COMPACT SMOOTH MANIFOLD

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dedicated to Charles Ehresmann

**ABSTRACT.** It is shown that the manifold E(X, Y) of all smooth embeddings from a manifold X in a manifold Y is a smooth principal Diff(X)-bundle, where Diff(X) is the smooth Lie-group of all diffeomorphisms of X.

This paper is a sequel to [9] which is again a sequel to [7]; in [7] it was shown that the space  $C^\infty(X,Y)$  of all smooth mappings  $X\to Y$  for arbitrary non-compact smooth finite dimensional manifolds X and Y is again a smooth manifold in a natural way, using the notion  $C^\infty_\pi=C^\infty_{\mathbf{c}}$  of Keller [6]. In [9] it was shown that the open subset  $Diff(X)\subset C^\infty(X,Y)$  of all  $C^\infty$ -diffeomorphisms is a smooth Lie group in the same notion of differentiability  $C^\infty_\pi$ . In this paper we show that the open subset

$$E(X,Y) \subset C^{\infty}(X,Y)$$

of all smooth embeddings  $X \to Y$  is a smooth principal Diff(X)-bundle with base space U(X,Y) = E(X,Y)/Diff(X), the space of all «submanifolds of Y of type X», which is again a smooth  $C_{\pi}^{\infty}$ -manifold. We remark that all proofs of [7,9] and this paper may easily be adapted to furnish the results in the notion of differentiability  $C_{\Gamma}^{\infty}$  used by Fischer, Gutknecht, Yamamuro, Omori and others. We prefer the notion  $C_{\pi}^{\infty} = C_{C}^{\infty}$  for esthetical reasons: it is only slightly weaker than the notion  $C_{\Gamma}^{\infty}$  but much simpler and it does not need fumbling around with explicit systems of seminorms on the model spaces of the manifolds.

The construction of the principal Diff(X)-bundle given here is adapted from Binz and Fischer [1], who proved this result in case that X is compact. [1] also contains some discussions about applications of the

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result to general relativity in the form of «hyperspace» or «superspace». We refer the reader to this paper for references and information about this application.

This paper contains Sections 9 and 10, continuing the counting of [7] (1-4) and [9] (5-8). References like 6.3 refer to one of these papers without further notice.

## 9. LOCAL ADDITIONS ON VECTOR BUNDLES.

9.1. We begin by repeating a definition (6.3; 3.3, 3.4):

DEFINITION. A local addition  $\tau$  on a locally compact smooth manifold M is a mapping  $\tau: TM \to M$  with the following properties:

(A1)  $(\tau, \pi_M): TM \to M \times M$  is a diffeomorphism onto an open neighborhood of the diagonal in  $M \times M$ , where  $\pi_M: TM \to M$  is the projection.

(A2)  $\tau(0_m) = m$ , where  $0_m \in T_m M$  is the zero element.

A local addition has the following (weaker) property:

For any  $m \in M$  the mapping  $\tau_m = \tau \mid_{T_m M} : T_m M \to M$  is a diffeomorphism onto an open neighborhood of m.

Local additions can be constructed by using exponential maps (which are canonically associated to sprays) and pulling them back over the whole tangent bundle, using a fibre respecting diffeomorphism of TM on the appropriate neighborhood of the zero section. The notion of local addition is more general than that of an exponential map: in general there is no «local flow property along curves».

DEFINITION. Let  $L \in M$  be a submanifold and let  $\tau$  be a local addition on M. L is said to be additively closed with respect to  $\tau$  if  $\tau \mid_{TL}$  takes its values in L (and so defines a local addition on L). Compare with the notion of a geodesically closed submanifold.

9.2. PROPOSITION. Let  $p: E \to B$  be a vector bundle. Then there exists a local addition  $\tau: TE \to E$  with the following properties:

10 B, identified with the zero section in E, is an additively closed submanifold of E with respect to  $\tau$ .

2º Each vector subspace of each fibre  $p^{-1}(b)$ ,  $b \in B$ , is additively closed with respect to  $\tau$ .

PROOF. Let  $p': E' \to B$  be another vector bundle such that  $E \oplus E'$  is trivial (see [4], page 76, or [5], page 100), i.e.  $E \oplus E'$  is isomorphic as a vector bundle over B to  $B \times R^n$  for some n. Let  $r_1$  be a local addition on B and let  $r_2$  be the affine local addition on  $R^n$ , i.e.  $r_2: TR^n \to R^n$  is given by:

$$\tau_2(v_x) = x + v_x$$
 for  $v_x \in T_x R^n$ .

Then

$$\tau_1 \times \tau_2 : TB \times TR^n = T(B \times R^n) \rightarrow B \times R^n$$

is a local addition on  $B \times R^n$  satisfying 1 and 2. Now transport  $\tau_1 \times \tau_2$  back to  $E \oplus E'$  via the isomorphism and restrict it to the subbundle E of  $E \oplus E'$ . This gives the desired local addition. QED

9.3. By a submanifold A of an infinite dimensional  $C_{\pi}^{\infty}$ -manifold B we mean of course a subset  $A \subset B$  such that for each  $a \in A$  there is a chart  $\phi: U \to V$  centered at a (i.e.  $\phi(a) = 0$  in V, where V is the complete locally convex vector space modelling B near a) and a topological linear direct summand W in V with  $\phi^{*I}(W) = A \cap U$ .

9.4. COROLLARY. Let X, Y be smooth locally compact manifolds and let L be a submanifold of Y. Then  $C^{\infty}(X,L)$  is a  $C^{\infty}_{\pi}$ -submanifold of  $C^{\infty}(X,Y)$  via  $i*: C^{\infty}(X,L) \to C^{\infty}(X,Y)$ , where  $i: L \subset Y$  is the embedding.

PROOF. Let  $p: \mathbb{W} \to L$  be a tubular neighborhood of L in Y, i.e.  $\mathbb{W}$  is an open neighborhood of L in Y and  $p: \mathbb{W} \to L$  is a vector bundle whose zero section is given by the embedding  $L \subset \mathbb{W}$ . Let  $\tau$  be a local addition on the vector bundle  $\mathbb{W}$  satisfying 9.2.1 and 9.2.2. Let

$$f \in C^{\infty}(X, Y)$$
 with  $f(X) \subset L$ .

Choose the canonical chart of  $C^{\infty}(X, \mathbb{V})$  centered at f coming from the local addition r on  $\mathbb{V}$  (cf. 6.3), i.e.

$$\phi_f \colon U_f \to \mathfrak{D}(f^*TW) = \mathfrak{D}(f^*TY)$$

is given by

$$\phi_f(g)(x) = r_{f(x)}^{-1}g(x) = (r, \pi_W)^{-1}(g, f)(x)$$

and

$$U_f = \{ g \in C^{\infty}(X, \mathbb{W}) \mid (g, f)(X) \subset (\tau, \pi_{\mathbb{W}})(T\mathbb{W}), g \sim f \}.$$

The inverse  $\phi_f^{*1} = \psi_f$  is given by  $\psi_f(s) = \tau \circ s$ . Now if  $g \in U_f \cap C^{\infty}(X, L)$ , then

$$\phi_f(g)(x) = r_{f(x)}^{-1} g(x) \in T_{f(x)} L \subset T_{f(x)} \mathbb{W},$$

since L is an additively closed submanifold of  $\mathbb{V}$  by 9.2.1, so  $\phi_f(g)$  is in  $\mathfrak{D}(f^*TL)$ . Clearly for each  $s \in \mathfrak{D}(f^*TL)$  the mapping  $\psi_f(s) = \tau \circ s$  takes its values in L. So  $\phi_f^{-1}(\mathfrak{D}(f^*TL)) = U_f \cap C^{\infty}(X, L)$ .

It remains to show that  $\mathfrak{D}(f^*TL)$  is a topological direct summand in  $\mathfrak{D}(f^*TW)$ . Since  $p:W\to L$  is a vector bundle we have

 $TW|_L = TL \oplus W \,, \ \text{ so } f^*TW = f^*(TW|_L) = f^*(TL \oplus W) = f^*TL \oplus f^*W$  and consequently  $\mathfrak{D}(f^*TW) = \mathfrak{D}(f^*TL) \times \mathfrak{D}(f^*W)$  is a topological direct sum.

So we have proved that  $C^{\infty}(X,L)$  is a  $C_{\pi}^{\infty}$ -submanifold of  $C^{\infty}(X,\mathbb{W})$ , which is again an open submanifold of  $C^{\infty}(X,Y)$ . QED

## 10. THE PRINCIPAL BUNDLE OF EMBEDDINGS.

10.1. Let X, Y be smooth locally compact manifolds; neither is assumed to be compact, but we assume that  $\dim X < \dim Y$ . There are two spaces of smooth embeddings  $X \to Y$ : let E(X,Y) denote the space of all smooth embeddings, which is an open subset of  $C^{\infty}(X,Y)$  (see [5] page 37); and let  $E_{prop}(X,Y)$  denote the space of all proper embeddings  $X \to Y$ . It is an open subset of E(X,Y) since the set of proper maps is open (1.9). The proper embeddings coincide with the closed embeddings, since a proper map is closed if Y is locally compact ([3] page 47). The open subsets E(X,Y) and  $E_{prop}(X,Y)$  thus inherit  $C_{\pi}^{\infty}$ -manifold structures from  $C^{\infty}(X,Y)$  (cf. 6.3 or 3.3, 3.4, 3.6).

10.2. We consider the following  $C_{\pi}^{\infty}$ -mappings (7.2):

$$\rho: Diff(X) \times E(X, Y) \to E(X, Y), \quad \rho(g, i) = i \circ g;$$

$$\rho: Diff(X) \times E_{prop}(X, Y) \to E_{prop}(X, Y).$$

 $\rho$  stands for the right action of Diff(X) on E(X,Y) and  $E_{prop}(X,Y)$ . Each  $g \in Diff(X)$  induces a  $C_{\pi}^{\infty}$ -diffeomorphism  $\rho(g,.)$  on E(X,Y) and  $E_{prop}(X,Y)$  respectively; the inverse is given by  $\rho(g^{-1},.)$ . The injectivity of the elements of E(X,Y) implies that the right action  $\rho$  of Diff(X) on E(X,Y) is free:

$$i \circ g_1 = i \circ g_2$$
 implies  $g_1 = g_2$  in  $Diff(X)$ .

Thus the mapping  $\rho(.,i)$ :  $Diff(X) \to E(X,Y)$  gives a bijection of Diff(X) onto the orbit  $i \circ Diff(X)$  of i; if i is proper, then the whole orbit of i is contained in  $E_{prop}(X,Y)$ . We will see later on that  $\rho(.,i)$  is even a  $C_{\pi}^{\infty}$ -diffeomorphism onto the orbit.

10.3. DEFINITION. Let U(X,Y) = E(X,Y)/Diff(X) denote the orbit space, equipped with the quotient topology; let  $u: E(X,Y) \rightarrow U(X,Y)$  denote the canonical quotient mapping.

U(X,Y) is, heuristically speaking, just the space of all submanifolds of type X in Y.

- 10.4. PROPOSITION. Let  $i \in E(X, Y)$  and write L = i(X).
  - 10 The orbit  $i \circ Diff(X)$  of i is the space Diff(X, L).
- 2º The inclusion  $Diff(X,L) \rightarrow E(X,Y)$  is a  $C^{\infty}_{\pi}$ -submanifold embedding.
- 30 The mapping  $\rho(.,i)$ : Diff(X)  $\rightarrow$   $i \circ$  Diff(X) = Diff(X,L) is a  $C_{\pi}^{\infty}$ -diffeomorphism.
  - 40 If i is proper, then the orbit of i is closed in  $E_{prop}(X, Y)$ .
  - 50 If X has finitely many connected components, then

$$Diff(X,L) = E_{prop}(X,L).$$

PROOF. 1 is clear. 2 follows from 9.4 since Diff(X,L) is open in  $C^{\infty}(X,L)$  (cf. 5.2 or [5] page 38) and  $C^{\infty}(X,L)$  is a  $C^{\infty}_{\pi}$ -submanifold of  $C^{\infty}(X,Y)$ .

3 is clear by the  $\Omega$ -Lemma 3.7 or by 7.1.

4. Let  $(g_{\alpha})$  be a net in Diff(X) such that  $i \circ g_{\alpha} = \rho(g_{\alpha}, i)$  converges to f, say, in  $E_{prop}(X, Y)$ . Then

$$i \circ g_{\alpha}(X) = i(X) = L$$
 for all  $\alpha$ ,

and since L is closed (i is closed) in Y, we conclude that  $f(X) \subset L$ . Now let  $X_j$  be one of the connected components of X, so  $X_j$  is open and closed in X, so  $f(X_j)$  is open in L (since f is an immersion) and closed in L (since f is proper); thus  $f(X_j)$  is one of the connected components of L. Now let  $L_j$  be one of the connected components of L. If  $\alpha_0$  is big enough, then  $i \circ g_\alpha(X_k) = L_j$  for some component  $X_k$  of X and all  $\alpha \ge \alpha_0$ . So  $f(X_k) = L_j$  and f is surjective, thus  $f \in Diff(X, L)$ . 5. Let  $X_1, \ldots, X_k$  be the connected components of X, let  $f \in E_{prop}(X, L)$ . As above one sees that  $f(X_1), \ldots, f(X_k)$  are different connected components of L; since L has as many components as X (for  $i: X \to L$  is a diffeomorphism), the assertion follows. QED

10.5. Fix  $i \in E(X, Y)$  and denote i(X) by L. Let  $p_L: W_L \to L$  be a tubular neighborhood of L in Y.

LEMMA. If  $j \in C^{\infty}(X, \Psi_L)$  is such that  $p_L \circ j \in E(X, Y)$ , then j is an embedding with inverse

$$(p_L \circ j)^{-1} \circ (p_L |_{j(X)}) : j(X) \to X.$$

Moreover for  $x \in X$ :

$$(T_x j)(T_x X) \oplus T_{j(x)}(p_L^{\star 1}(p_L j(x))) = T_{j(x)} \Psi_L = T_{j(x)} Y.$$

PROOF.  $p_L \circ j$  is injective so  $j: X \to W_L$  is injective, so  $j: X \to j(X)$  is invertible with inverse  $(p_L \circ j)^{-1} \circ (p_L|_{j(X)})$ . This inverse is continuous, so j is a topological embedding. For  $x \in X$  we have

$$(T_{j(x)} P_L) (T_x j) (T_x X) = T_x (P_L \circ j) (T_x X) = T_{P_L j(x)} L = (T_{j(x)} P_L) (T_{j(x)} W_L),$$

so

$$\dim (T_x j)(T_x X) \geq \dim T_{P_L j(x)} L = \dim T_x X \geq \dim (T_x j)(T_x X),$$

so j is an immersion, thus  $j \in E(X, \mathbb{W}_L)$ . Now the kernel of

$$T_{j(x)} p_L : T_{j(x)} W_L \rightarrow T_{p_L j(x)} L$$

is  $T_{j(x)}(p_L^{-1}(p_Lj(x)))$ , the tangent space to the fibre through j(x) so the second assertion follows. QED

10.6. DEFINITION. In the setup of 10.5 let us denote

$$Q_i = \{ j \in C^{\infty}(X, \mathbb{W}_L) \mid p_L \circ j = i \text{ and } j \sim i \}$$
$$= (p_L) *^1(i) \cap \{ j \mid j \sim i \}.$$

By 10.5 we see that  $Q_i \subset E(X, W_L)$ . Remember that

$$u: E(X,Y) \rightarrow U(X,Y)$$

denotes the quotient map.

LEMMA. 10  $u|_{Q_i}: Q_i \rightarrow U(X, Y)$  is injective.

20  $Q_i \circ V$  is open in E(X, Y) if V is open in Diff(X).

PROOF. 1° Let  $j, j' \in Q_i$  and suppose u(j) = u(j'), i.e.  $j = j' \circ g$  for some  $g \in Diff(X)$ , then

$$i = p_L \circ j = p_L \circ (j' \circ g) = i \circ g,$$

so  $g = Id_X$  and j = j'.

2º Let us first assume that

$$V \subset \{ g \in Diff(X) \mid g \sim Id_X \},$$

the open subgroup of diffeomorphisms with compact support.

 $(p_L)_* \colon E(X, \mathbb{W}_L) \to C^\infty(X, L)$  is continuous,  $i \circ Diff(X) = Diff(X, L)$  is open in  $C^\infty(X, L)$ ,  $\rho(., i) \colon Diff(X) \to Diff(X, L)$  is homeomorphic so we have in turn that  $\rho(., i)(V)$  is open in Diff(X, L) and that the set  $(p_L)_*^{*I}(\rho(., i)(V))$  is open in  $E(X, \mathbb{W}_L)$  and in E(X, Y). Now, we claim that

$$(p_L)^{\frac{1}{\epsilon}l}(\rho(\cdot,i)(V))\cap \{j\in E(X,Y)\mid j-i\}=Q_i\circ V$$

which proves the assertion in this special case: If

$$j \in (p_L)^{*I}(\rho(.,i)(V))$$
 and  $j = i$ ,

then  $p_L \circ j \in i \circ V$ , so  $p_L \circ j = i \circ g$  for some  $g \in V$  with  $g \sim ld_X$ . But then  $j \circ g^{-1} \in E(X, W_L)$  and  $p_L \circ (j \circ g^{-1}) = i \circ g \circ g^{-1} = i$  and  $j \circ g^{-1} \sim i$ ,

so

$$j \circ g^{-1} \in Q_i$$
 and  $j = (j \circ g^{-1}) \circ g \in Q_i \circ V$ .

Now suppose conversely that  $j \in Q_i$ ,  $g \in V$ . Then  $p_L \circ j = i$ , j - i, so

$$p_L \circ (j \circ g) = i \circ g \in \rho(.,i)(V)$$
 and  $j \circ g - i$ ,

hence

$$j \circ g \in (p_L)^{\frac{1}{2}}(\rho(.,i)(V)) \cap \{j \mid j-i\}.$$

Now let V be an arbitrary open subset of Diff(X). Decompose V into the disjoint union of all nonempty intersections of V with the open equivalence classes of  $\sim$  in Diff(X), which we call  $V_{\alpha}$ . For each  $\alpha$ , take  $g_{\alpha} \in V_{\alpha}$ , then  $V_{\alpha} \circ g_{\alpha}^{-1}$  is an open subset of the subgroup of diffeomorphisms with compact support, so  $Q_i \circ (V_{\alpha} \circ g_{\alpha}^{-1})$  is open in E(X,Y) by the first part of the proof. But then

$$Q_i \circ V_\alpha = \rho(g_\alpha, .)(Q_i \circ (V_\alpha \circ g_\alpha^{-1}))$$

is open too and thus  $Q_i \circ V = \bigcup_{\alpha} Q_i \circ V_{\alpha}$  is open. QED

10.7. COROLLARY. With the above notation,  $u(Q_i)$  is open in the quotient topology in U(X,Y) = E(X,Y)/Diff(X).

PROOF. By Lemma 10.6 (for V = Diff(X)) we see that  $Q_i \circ Diff(X)$ , the full inverse image of  $u(Q_i)$  under u, is open in E(X,Y). So  $u(Q_i)$  is open in U(X,Y) in the quotient topology. QED

10.8. As in 10.5 let  $i \in E(X,Y)$ , L=i(X) and let  $p_L: \mathbb{W}_L \to L$  be a tubular neighborhood of L in Y; furthermore let  $\tau_L: T\mathbb{W}_L \to \mathbb{W}_L$  be a local addition for the vector bundle  $\mathbb{W}_L$  as constructed in 9.2. Since  $p_L: \mathbb{W}_L \to L$  is a vector bundle, we may decompose it:  $T\mathbb{W}_L|_L = TL \oplus \mathbb{W}_L$ , where we have identified

$$(W_L)_l = p_L^{-1}(l)$$
 with  $T_l(p^{-1}(l))$ ,

the tangent space to the fibre through l .

For reasons of clarity we will not identify as radically as we have done above: Let  $V_L \to L$  denote the subbundle of  $T W_L \mid_L$  consisting of the vertical elements of  $T W_L \mid_L$ , those tangent to the fibres of  $W_L$ . Then the decomposition mentioned above may be written as  $T W_L \mid_L = T L \oplus V_L$ .

By 9.2 we have the following:

$$\tau_L \mid_{(V_L)_I} : (V_L)_l = T_l(p_L^{-1}(l)) \rightarrow (\mathbb{V}_L)_l = p_L^{-1}(l)$$

is a diffeomorphism onto for each  $l \in L$  . So  $\tau_L \mid_{V_L} \colon V_L \to \mathbb{W}_L$  is a diffeomorphism onto.

10.9. PROPOSITION. In the setting of 10.8, the subset  $Q_i$  of 10.6 is a  $C_{\pi}^{\infty}$ -submanifold of E(X,Y).

PROOF. We will show that  $Q_i$  is a  $C_\pi^\infty$ -submanifold of the open subset  $E(X,\mathbb{W}_L)$  of E(X,Y). Let

$$\phi_i \colon U_i \to \mathfrak{D}(i * T \mathbb{W}_L) = \mathfrak{D}(i * (T \mathbb{W}_L |_L))$$

be the canonical chart coming from the local addition  $\tau_L$  on  $\Psi_L$ , i.e.

$$\begin{split} U_i &= \{ \ j \in E(X, \mathbb{W}_L) \mid (j, i)(X) \in (\tau_L, \pi_{\mathbb{W}_L})(T\mathbb{W}_L) \ \text{and} \ j \sim i \ \} = \\ &= \{ \ j \in E(X, \mathbb{W}_L) \mid j \sim i \ \} \end{split}$$

since  $\tau_L$  is onto  $\mathbb{W}_L$  by construction. So  $Q_i \subset U_i$  , and  $Q_i$  carries a global chart.

Now  $j \in Q_i$  means that  $j \sim i$  and  $p_L \circ j = i$ , so  $j(x) \in p_L^{-1}(i(x))$  and

$$\phi_i(j)(x) = (\tau_L)_{i(x)}^{-l}(j(x))\epsilon(V_L)_{i(x)},$$

since the fibre  $p_L^{\bullet I}(i(x))$  is additively closed with respect to  $\tau_L$ . By the same reason we see that for any  $s \in \mathfrak{D}(i^*V_L)$ ,

$$\phi_i^{*l}(s) = \psi_i(s) = \tau_L \circ s_\epsilon \, Q_i \; .$$

So  $\phi_i|_{Q_i}:Q_i\to \mathfrak{D}(i^*V_L)$  is a bijection, and  $\mathfrak{D}(i^*V_L)$  is a topological direct summand in  $\mathfrak{D}(i^*(TV_L|_L))$ , since

$$\begin{split} \mathfrak{D}(i^*(T \mathbb{W}_L \mid_L)) &= \mathfrak{D}(i^*(TL \oplus V_L)) = \mathfrak{D}(i^*TL \oplus i^*V_L) = \\ &= \mathfrak{D}(i^*TL) \times \mathfrak{D}(i^*V_L) \end{split}$$

(cf. 9.4). QED

10.10. Now we can show that  $u: E(X,Y) \to U(X,Y)$  is a principal Diff(X)-bundle. Let  $i \in E(X,Y)$ , denote  $\hat{i} = u(i) \in U(X,Y)$ , then  $\hat{Q}_i = u(Q_i)$  is an open neighborhood of  $\hat{i}$  in U(X,Y), which we will show to be trivializing.

10 DEFINITION. Let  $s_i \colon \hat{Q}_i \to E(X, Y)$  be given by  $s_i = (u|_{Q_i})^{-1}$ , which is well defined, since  $u|_{Q_i}$  is injective by 10.6.1.

2° The fibres of  $u: E(X, Y) \to U(X, Y)$  (which are the Diff(X)-orbits) over the points of  $\hat{Q}_i$  meet  $Q_i$  at exactly one point each by construction; since moreover the action of Diff(X) is free we see that the mapping

$$\rho \mid_{Diff(X) \times Q_i} : Diff(X) \times Q_i \rightarrow u^{-1}(\hat{Q}_i)$$

is bijective, so there is an inverse

$$(\rho \big|_{Diff(X) \times Q_i})^{-1} = (\mu_i, \delta_i) \colon u^{-1}(\hat{Q}_i) \to Diff(X) \times Q_i.$$

So

$$\mu_i: u^{-1}(\hat{Q}_i) \rightarrow Diff(X)$$
,  $\delta_i: u^{-1}(\hat{Q}_i) \rightarrow Q_i$ 

and  $\delta_i(j) \circ \mu_i(j) = j$  for each  $j \in u^{-1}(\hat{Q}_i)$ , furthermore

$$\delta_i(j) - i$$
 and  $p_L \circ \delta_i(j) = i$ .

3° We claim that  $\mu_i$  is  $C^\infty_\pi$  -differentiable:

$$i \circ \mu_i(j) = p_L \circ \delta_i(j) \circ \mu_i(j) = p_L \circ j$$

(this implies that  $p_L \circ j$  is defined too), so

$$\mu_i(j) = \rho(.,i)^{-1} \circ (p_L) * (j),$$

or

$$\mu_i = \rho(.,i)^{-1} \circ (p_L) *: u^{-1}(\hat{Q}_i) \to Diff(X).$$

By 10.4 and the  $\Omega$ -Lemma 3.7, we see that  $\mu_i$  is  $C_\pi^\infty$ -differentiable.

40 We claim that  $\delta_i$  is  $C_{\pi}^{\infty}$ -differentiable too:

$$\delta_i(j) \circ \mu_i(j) = j$$
, so  $\delta_i(j) = j \circ \mu_i(j)^{-1}$ 

or

$$\delta_i = \rho \circ (lnv \circ \mu_i, ld) : u^{-1}(\hat{Q}_i) \rightarrow Q_i$$
.

By 10.2 and 8.1 we see that  $\delta_i$  is  $C_{\pi}^{\infty}$ -differentiable.

50 So  $\rho: Diff(X) \times Q_i \to u^{-1}(Q_i)$  is a  $C_{\pi}^{\infty}$ -diffeomorphism. This will provide the trivializing map.

6° We claim that  $s_i: \hat{Q}_i \to Q_i$  (from 1) is continuous (so  $\hat{Q}_i$  is homeomorphic to  $Q_i$ ): For  $y \in \hat{Q}_i$  we have  $\{s_i(y)\} = \delta_i(u^{-1}(y))$  by construction. Let V be open in  $Q_i$ , then  $\delta_i^{-1}(V)$  is open in  $u^{-1}(\hat{Q}_i)$  by 4,  $u^{-1}(\hat{Q}_i)$  is itself open in E(X,Y), so

$$u^{-1}(s_i^{-1}(V)) = u^{-1}(u(V)) = \delta_i^{-1}(V)$$

is open in E(X, Y). This implies that  $s_i^{-1}(V)$  is open in U(X, Y) in the quotient topology.

## 10.11. We have proved the following theorem:

THEOREM.  $u: E(X, Y) \rightarrow U(X, Y)$  is a topological principal Diff(X)-bundle, trivial over the open neighborhood  $\hat{Q}_i$  of  $\hat{i}$  in U(X, Y) for each  $i \in E(X, Y)$ , a trivializing map being given by:

$$Diff(X) \times \hat{Q}_i \rightarrow u^{-1}(Q_i), \quad (g, y) \mapsto s_i(y) \circ g.$$

10.12. THEOREM. U(X, Y) is a  $C_{\pi}^{\infty}$ -manifold.

PROOF. For each  $i \in E(X,Y)$  the open neighborhood  $\hat{Q}_i$  is homeomorphic to the submanifold  $Q_i$  of E(X,Y) (cf. 10.10.6 and 10.9) so we only have to check that these «fit together nicely». In other words, we use the mappings:

$$(\phi_i|_{Q_i}) \circ s_i : \hat{Q}_i \to \mathfrak{D}(i * V_L)$$

as charts. We have to check whether the chart-change is  $C_{\pi}^{\infty}$ -differentiable. Let  $i, k \in E(X, Y)$  be such that  $\hat{Q}_i \cap \hat{Q}_k \neq \emptyset$  in U(X, Y). Let us first assume that i and k lie on the same Diff(X)-orbit, then there is some  $g \in Diff(X)$  with  $i = k \circ g$ . Then we have L = i(X) = k(X) and

$$\begin{split} Q_i &= \{ \ j \in E(X, \mathbb{W}_L) \ | \ p_L \circ j = i, \ j \sim i \ \} \\ &= \{ \ j \in E(X, \mathbb{W}_L) \ | \ p_L \circ j = k \circ g, \ j \sim k \circ g \ \} \\ &= \{ \ j \circ g \ | \ j \in E(X, \mathbb{W}_L), \ p_L \circ j = k, \ j \sim k \ \} \\ &= Q_k \circ g = \rho(g, \cdot)(Q_k). \end{split}$$

So  $Q_i$  and  $Q_k$  are translates of each other,  $\hat{Q}_i = \hat{Q}_k$  and

$$\begin{split} ((\phi_{k}|_{Q_{k}}) \circ s_{k}) \circ ((\phi_{i}|_{Q_{i}}) \circ s_{i})^{-1} &= (\phi_{k}|_{Q_{k}}) \circ s_{k} \circ s_{i}^{-1} \circ (\phi_{i}|_{Q_{i}})^{-1} \\ &= (\phi_{k}|_{Q_{k}}) \circ (\rho(g, .)^{-1}|_{Q_{i}}) \circ (\phi_{i}|_{Q_{i}})^{-1} \\ &= (\phi_{k}|_{Q_{k}}) \circ (\rho(g^{-1}, .)|_{Q_{i}}) \circ (\phi_{i}|_{Q_{i}})^{-1}, \end{split}$$

which is a  $C_{\pi}^{\infty}$ -diffeomorphism by 10.2 and 10.9.

So let us now suppose that i,  $k \in E(X, Y)$  with  $\hat{Q}_i \cap \hat{Q}_k \neq \emptyset$ , but that i and k do not lie on the same orbit. Let L = i(X), K = k(X). Then

$$s_k(\hat{Q}_i \cap \hat{Q}_k) = s_k(\hat{Q}_k) \cap u^{-1}(\hat{Q}_i) = Q_k \cap u^{-1}(\hat{Q}_i).$$

For  $j \in Q_k$  we have  $p_K \circ j = k$  and  $j \sim k$ , so

$$j = \tau_K \circ t = \psi_k(t)$$
 for some  $t \in \mathfrak{D}(k * V_K)$ .

If moreover  $j \in u^{-1}(\hat{Q}_i)$ , then

$$j = \delta_i(j) \circ \mu_i(j)$$
 for  $\delta_i(j) \in Q_i$  and  $\mu_i(j) \in Diff(X)$ .

So if  $t \in (\phi_k|_{Q_k}) \circ s_k (\hat{Q}_i \cap \hat{Q}_k) \in \mathfrak{D}(k * V_K)$ , then

$$\begin{split} (\phi_{i}|_{Q_{i}}) \circ s_{i} \circ ((\phi_{k}|_{Q_{k}}) \circ s_{k})^{-1}(t) &= (\phi_{i}|_{Q_{i}}) \circ s_{i} \circ s_{k}^{-1} \circ (\phi_{k}|_{Q_{k}})^{-1}(t) \\ &= (\phi_{i}|_{Q_{i}}) \circ s_{i} \circ u(j) = (\phi_{i}|_{Q_{i}})(s_{i}(j)) \\ &= (\phi_{i}|_{Q_{i}})(\delta_{i}(j)) = (\phi_{i}|_{Q_{i}}) \circ \delta_{i} \circ (\phi_{k}|_{Q_{k}})^{-1}(t), \end{split}$$

where we have used again the argument of 10.10.6. This last mapping is  $C_{\pi}^{\infty}$ -differentiable in t by 10.10.4 and 10.9. QED

10.13. PROPOSITION.  $u: E(X,Y) \rightarrow U(X,Y)$  is a submersion, i.e., for each  $i \in E(X,Y)$ , the mapping

$$T_i u : T_i E(X,Y) = \mathfrak{D}(i*TY) \rightarrow T_i U(X,Y) = \mathfrak{D}(i*V_L)$$

is surjective, a topological quotient map, and the kernel

$$ker T_i u = T_i (i \circ Diff(X)) = \mathfrak{D}(i * TL)$$

is a linear and topological direct summand.

PROOF. That the kernel of  $T_i u$  is splitting has been proved in 10.9; that  $T_i u$  is a quotient map follows directly from the construction of the charts for U(X,Y). QED

10.14. THEOREM.  $u: E(X,Y) \rightarrow U(X,Y)$  is a  $C_{\pi}^{\infty}$ -differentiable principal Diff(X)-bundle, trivial over the open neighborhoods  $\hat{Q}_i$  of  $\hat{\imath}$  in U(X,Y) for each  $i \in E(X,Y)$ , a trivializing map being given by:

$$Diff(X) \times \hat{Q}_i \rightarrow u^{-1}(Q_i), (g, y) \mapsto s_i(y) \circ g.$$

PROOF.  $s_i: \hat{Q}_i \to Q_i$  is a  $C_{\pi}^{\infty}$ -diffeomorphism by the construction of the

charts for U(X, Y). QED

10.15. Let  $U_{prop}(X,Y)$  denote the space of all proper orbits, i.e. (cf. 10.1, 10.2, 10.4)  $U_{prop}(X,Y) = u(E_{prop}(X,Y))$ .

COROLLARY.  $u: E_{prop}(X,Y) \rightarrow U_{prop}(X,Y)$  is a smooth principal Diff(X)-bundle.  $E_{prop}(X,Y) = E(X,Y)|_{U_{prop}(X,Y)}$ , the restriction of the bundle  $E(X,Y) \rightarrow U(X,Y)$  to the open subset  $U_{prop}(X,Y)$  of E(X,Y).

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