

A note on the inverse mapping theorem of F. Berquier

P. Michor

We show that the notion of strict differentiability of [1], § IV is rather restrictive. In fact, we give a complete characterization of strictly differentiable mappings and use it to give a short proof of the main theorem of [1]. Notation is from [1], we only remark, that X is a finite dimensional C^0 manifold and $C(R, X)$ is the space of continuous realvalued functions on X with the Whitney C^0 topology.

Theorem 1: Let $\Phi: C(R, X) \rightarrow C(R, X)$ be strictly differentiable at $\varphi_0 \in C(R, X)$. Then there exists an open neighbourhood V_0 of φ_0 in $C(R, X)$ and a continuous function $f: \Omega \rightarrow R$, where Ω is a suitable open neighbourhood of the graph of φ_0 in $X \times R$ such that $\Phi(\varphi)(x) = f(x, \varphi(x))$, $x \in X$ for all $\varphi \in V_0$ and furthermore the map $f(x, \cdot)$ is differentiable at $\varphi_0(x)$ for all $x \in X$ and $(D\Phi(\varphi_0)h)(x) = df(x, \cdot)(\varphi_0(x)) \cdot h(x)$, $x \in X$ for all $h \in C(R, X)$. If Φ is furthermore differentiable in V_0 (cf. [1], § III) then $f(x, \cdot)$ is differentiable in $\Omega \cap \{x\} \times R$ and $df(x, \cdot)$ is continuous on each point of $\varphi_0(X)$.

Remark: The theorem says, that each strictly differentiable mapping $\Phi: C(R, X) \rightarrow C(R, X)$ looks locally like pushing forward sections of the trivial vector bundle $X \times R$ by a suitably differentiable fibre bundle homomorphism. Of course each such map is strictly differentiable, so we have obtained a complete characterization.

Proof: First we remark that the topology on $C(R, X)$ can be described in the following way: $C(R, X)$ is a topological ring and sets of the form $V_\epsilon = \{ g \in C(R, X) : |g(x)| < \epsilon(x), x \in X \}$ are a base of open

neighbourhoods of 0, where $\epsilon: X \rightarrow R$ is strictly positive and continuous.

Now by definition IV-1 of [1] we may write in a neighbourhood of φ_0 $\phi(g+h) - \phi(g) = D\phi(\varphi_0)h + R(g,h)$ where R satisfies the following condition: For each V_ϵ there are V_δ, V_λ such that $R(g,hk) \in h.V_\epsilon$ for all $g \in \varphi_0 + V_\delta, h \in V_\lambda$ and $k \in C(R,X)$ with $|k(x)| \leq 1, x \in X$. Let $V_\epsilon = V_1, k = 1$, then there are V_δ, V_λ such that $R(g,h) \in hV_1$ for all $g \in \varphi_0 + V_\delta, h \in V_\lambda$. Let $V_0 = \varphi_0 + (V_\delta \cap V_{\lambda/2})$.

We claim that if $\varphi_1, \varphi_2 \in V_0$ and $x \in X$ such that $\varphi_1(x) = \varphi_2(x)$ then $\phi(\varphi_1)(x) = \phi(\varphi_2)(x)$. This follows from the equation

$$\phi(\varphi_1) - \phi(\varphi_2) = D\phi(\varphi_0)(\varphi_1 - \varphi_2) + R(\varphi_2, \varphi_1 - \varphi_2), \text{ since}$$

$$[D\phi(\varphi_0)(\varphi_1 - \varphi_2)](x) = (\varphi_1 - \varphi_2)(x) \cdot [D\phi(\varphi_0)(1)](x) = 0 \text{ and}$$

$$R(\varphi_2, \varphi_1 - \varphi_2) \in (\varphi_1 - \varphi_2) \cdot V_1, \text{ so } R(\varphi_2, \varphi_1 - \varphi_2)(x) = 0.$$

If $\varphi \in C(R,X)$ denote the graph of φ by $X_\varphi = \{ (x, \varphi(x)) : x \in X \}$.

Let $\Omega = \cup \{ X_\varphi : \varphi \in V_0 \}$. By the form of V_0 it is clear that Ω is an open neighbourhood of X_{φ_0} . For $\varphi \in V_0$ define $f_\varphi: X_\varphi \rightarrow R$ by $f_\varphi(x, \varphi(x)) = \phi(\varphi)(x)$. By the claim above we see that we have

$f_\varphi|_{X_\varphi \cap X_\psi} = f_\psi|_{X_\varphi \cap X_\psi}$ if φ and ψ are in V_0 , so we have got a mapping $f: \Omega \rightarrow R$, and $\phi(\varphi)(x) = f(x, \varphi(x))$ for all $\varphi \in V_0$ and $x \in X$.

We show that f is continuous. If $(x_n, t_n) \rightarrow (x, t)$ in $\Omega \subseteq X \times R$ we may choose a sequence $\varphi_n \rightarrow \varphi$ in $C(R,X)$ such that $(x_n, \varphi_n(x_n)) = (x_n, t_n)$, $\varphi(x) = t$ (remembering that a sequence converges in the Whitney C^0 topology iff it coincides with its limit off a compact set K of X after a while and converges uniformly on K). But then $\phi(\varphi_n) \rightarrow \phi(\varphi)$ uniformly, and $x_n \rightarrow x$, so $\phi(\varphi_n)(x_n) = f(x_n, t_n) \rightarrow \phi(\varphi)(x) = f(x, t)$.

Now we show that f is differentiable at each point of X_φ if ϕ is differentiable at φ (strict differentiability implies differentiability, see [1]). We have $\phi(\varphi+h) - \phi(\varphi) = D\phi(\varphi)h + r_\varphi(h)$, where r_φ is a "small" mapping ([1], § III), i.e. for each V_ϵ there is V_δ such

that $r_\varphi(h) \in h.V_\epsilon$ for all $h \in V_\delta$. Evaluating this equation at x we get $f(x, \varphi(x) + h(x)) - f(x, \varphi(x)) = [D\hat{\phi}(\varphi)(1)](x).h(x) + r_\varphi(h)(x)$.

It is clear that the map $h(x) \rightarrow r_\varphi(h)(x)$ is $o(h(x))$ by the "smallness" of r_φ , so $f(x, \cdot)$ is differentiable at $\varphi(x)$ and $[D\hat{\phi}(\varphi)h](x) = df(x, \cdot)(\varphi(x)).h(x)$.

It remains to show that $df(x, \cdot)$ is continuous at each point of X_{φ_0} . This follows easily from Proposition IV-2 of [1] with the method we just applied to show that f is continuous. qed.

Theorem 2: Let $\hat{\phi}: C(R, X) \rightarrow C(R, X)$ be differentiable in a neighbourhood of $\varphi_0 \in C(R, X)$ and strictly differentiable at φ_0 and suppose that $D\hat{\phi}(\varphi_0)$ is surjective. Then there exists a neighbourhood V_0 of φ_0 and a neighbourhood W_0 of $\hat{\phi}(\varphi_0)$ in $C(R, X)$ such that $\hat{\phi}: V_0 \rightarrow W_0$ is a homeomorphism onto. Furthermore the map $\hat{\phi}^{-1}: W_0 \rightarrow V_0$ is differentiable on W_0 , strictly differentiable at $\hat{\phi}(\varphi_0)$ and for each $\varphi \in V_0$ we have $D(\hat{\phi}^{-1})(\hat{\phi}(\varphi)) = (D\hat{\phi}(\varphi))^{-1}$.

Proof: By theorem 1 we have that $\hat{\phi}(\varphi)(x) = f(x, \varphi(x))$ and $D\hat{\phi}(\varphi)(1)(x) = df(x, \cdot)(\varphi(x))$. Since $D\hat{\phi}(\varphi_0)$ is surjective we conclude that $df(x, \cdot)(\varphi_0(x)) \neq 0$ for all $x \in X$, and since $df(x, \cdot)$ is continuous at $\varphi_0(x)$ it is $\neq 0$ on a neighbourhood of $\varphi_0(x)$ in R . Writing $f_x = f(x, \cdot)$ we see that f_x^{-1} exists and is differentiable on some neighbourhood of $\hat{\phi}(\varphi_0)(x)$ in R by the ordinary inverse function theorem. So the map $(x, t) \rightarrow (x, f(x, t))$ is locally invertible at each point of the graph X_{φ_0} of φ_0 ; one may construct a neighbourhood Ω of X_{φ_0} in $X \times R$ such that this map is invertible there (considering neighbourhoods $U_x \times V_{\varphi_0(x)}$ of $(x, \varphi_0(x))$ where $Id \times f$ is invertible and taking $\Omega = \bigcup_x U_x \times V_{\varphi_0(x)}$). Then $\hat{\phi}^{-1}(\Psi)(x) = f_x^{-1}(\Psi(x))$; all other claims of the theorem are easily checked up. qed.

Remark: Theorem 2 is a little more general than the result in [1].
The method of proof is adapted from [2], 4.1 and 4.2 where we
treated an analogous smooth result.

References

- [1] F. BERQUIER: Un theoreme d'inversion locale, to appear in the
Proceedings of the Conference on Categorical Topology,
Mannheim 1975.
- [2] P.MICHOR: Manifolds of smooth maps, to appear.

P. Michor
Mathematisches Institut der Universität
Strudlhofgasse 4
A-1090 Wien, Austria.